

2131#5



PATENT  
Attorney Docket No. 38610.0004 (new)  
031672.0005 (old)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

William A. Knaus et al.

Application No.: 09/822,261

Filed: April 2, 2001

Examiner: To Be Assigned

Group Art Unit: 2131

Title: BROADBAND COMPUTER-BASED NETWORKED  
SYSTEMS FOR CONTROL AND MANAGEMENT OF MEDICAL RECORDS

Assistant Commissioner for Patents  
Washington, D.C. 20231

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**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith copies of the references listed on the attached Form PTO-1449 (modified) for consideration and to be made of record herein by the U.S. Patent and Trademark Office in the above-captioned application.

Consideration of the foregoing plus the prompt return of a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

Since this Information Disclosure Statement is being submitted prior to the issuance of the first Office Action on the merits, it is believed that no fees are due. However, if any fees are due, the Commissioner is hereby authorized to charge the required fees to the undersigned's Deposit Account No. 08-1641.

Respectfully submitted,  
Heller Ehrman White & McAuliffe LLP

Dated: 1/08/02

By:

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FORM PTO-1449 (Modified)  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

APPLICATION NO.

38610.0004

09/822,261

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

William A. Knaus et al.

FILING DATE

April 2, 2001

GROUP

2131

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	5	3	2	5	2	9	4	6/28/94	Keene	364	413.01	
	B	5	4	6	5	0	8	2	11/7/95	Chaco	340	825.54	
	C	5	7	2	1	7	7	7	2/24/98	Blaze	380	4	
	D	5	8	3	2	4	8	8	11/3/98	Eberhardt	707	10	
	E	5	8	6	7	8	2	1	2/2/99	Ballantyne et al.	705	2	
	F	5	8	9	9	9	9	8	5/4/99	McGauley et al.	707	104	
	G	5	9	1	5	2	4	0	6/22/99	Karpf	705	2	
	H	5	9	2	4	0	7	4	7/13/99	Evans	705	3	
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	J	5	9	4	6	6	5	9	8/31/99	Lancelot et al.	705	3	
	K	5	9	7	4	3	8	9	10/26/99	Clark et al.	705	3	
	L	6	0	3	1	9	1	0	2/29/00	Deindl et al.	380	25	
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	O	6	1	3	1	0	9	0	10/10/00	Basso, Jr., et al.	706	23	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	P													
	Q													
	R													
	S													

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

T	Kenneth C. Laudon, <i>Markets and Privacy</i> , Communications of the ACM, September 1996, Vol., 39, No. 9, pages 92-104.
U	
V	
W	
X	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.